

APPROVED
for
PTO DEPOSIT ACCOUNT CHARGE
ACCOUNT #04-1700

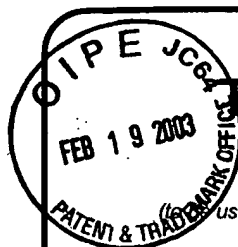
9p17048

Express Mail No. EV262272389US

Deposited on: 02/19/2003

DUNLAP, CODDING & ROGERS, P.C.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



TRANSMITTAL FORM

(used for all correspondence after initial filing)

Applicati n Number	09/988,847
Filing Date	11/19/2001
First Named Inventor	Daniel E. Resasco et al.
Group Art Unit	1754 (Conf. No. 9377)
Examiner Name	Unknown
Attorney Docket Number	5820.615

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☒ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

See Remarks

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Remarks

1. Transmittal(1 page); 2. Fee Transmittal(1 page)authorizing withdrawal from Deposit Account in the amount of \$ 130.00 (\$130.00 for filing of Petition fee) or any additional fee required and/or credit any overpayments to Deposit Account Name Dunlap, Coddington & Rogers, P.C.; 3. Amendment, Petition and Fee to Delete and/or add to Original erroneously Named or Not Named Inventor(s) in Declaration (2 pages); 4. Statement of Inventor, Dukhwan Jang, Under 37 CFR 1.48(a) (2 pages); 5. Substitute Combined Declaration and Power of Attorney (5 pages); and 6. Return Receipt Postcard.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 P. O. Box 16370, Oklahoma City, Oklahoma 73113; Christopher W. Corbett
Signature	
Date	2/19/03

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. EV262272389US in an envelope addressed to the address below on this date: 02/19/2003

Typed or printed name	Christopher W. Corbett, Reg. No. 36109
Signature	Date 2/19/03

Commissioner for Patents
SEND TO: Washington, D.C. 20231

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262272389US
02/19/2003

TO DEPOSIT ACCOUNT CHARGE
ACCOUNT# 04-1700
DUNLAP, CODDING & ROGERS, P.C.

PTO/SB/17 (10-02)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	09/988.847
Filing Date	11/19/2001
First Named Inventor	Daniel E. Resasco et al.
Examiner Name	Unknown
Art Unit	1754
Attorney Docket No.	5820.615

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

04-1700

Dunlap, Coddling & Rogers, P.C.
Customer No. 30589

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims			\$0
Independent Claims			\$0
Multiple Dependent			\$0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

SUBMITTED BY

Name (Print/Type)	Christopher W. Corbett	Registration No. (Attorney/Agent)	36,109	Telephone	(405) 478-5344
Signature		Date	02/19/2003		

SEND TO: Commissioner for Patents
Washington, D.C. 20231

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EXPRESS MAIL NO.: EV262272389US
DATE DEPOSITED: February 19, 2003

PATENT

4/13
3/4/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel E. Resasco, Boonyarach Kitiyanan,
Jeffrey H. Harwell and Walter Alvarez

Attny. Dkt. No.: 5820.542

Serial No. 09/988,847

Art Group: 1754

Filed: 11/19/2001

Examiner: Unknown

For: METALLIC CATALYTIC PARTICLE
FOR PRODUCING SINGLE-WALLED
CARBON NANOTUBES

Confirmation No.: 9377

Commissioner for Patents
Washington, D.C. 20231

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AMENDMENT, PETITION AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED
INVENTOR(S) IN DECLARATION - NON-PROVISIONAL APPLICATION
(37 C.F.R. §1.48(a))

1. This amendment and petition is to correct the incorrect naming of inventor(s) because of the cancellation of certain claims in the patent application.

2. Addition and/or Deletion of Inventor(s).

[] Add the following previously unnamed person(s) as inventor(s) of this application:

[X] Delete the following previously incorrectly named inventor(s):

Dukhwan Jang, 120 West Constitution, #H, Norman, OK 73072

02/25/2003 SDENB081 00000028 041700 09988847

01 FC:1460 130.00 CH

3. Attachments

Attached is:

(a). A statement from:

☒ Dukhwan Jang acknowledges that he was incorrectly named as an inventor of the invention claimed in the application.

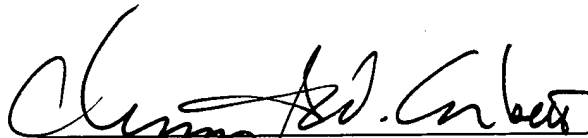
☒ Substitute Combined Declaration and Power of Attorney.

4. Fee Payment (37 C.F.R. §1.17(i))

☒ Charge Deposit Account No. 04-1700 in the amount of \$ 130.00 for the surcharge fee.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment under 37 C.F.R. 1.16 and 1.17 to Deposit Account No. 04-1700.

Respectfully submitted,



Christopher W. Corbett, Ph.D.
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Oklahoma City, Oklahoma 73113
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Agent for Applicant(s)